

COMBINED DECLARATION AND POWER OF ATTORNEY

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name and that I believe I am an original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

CHEMICAL-MECHANICAL POLISHING METHOD

the specification of which

☒ is attached hereto.

was filed on

as Application Serial No.

and was amended on

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Number	Country	Date Filed	Yes	No
87110514	Taiwan, R.O.C.	June 30, 1998	X	

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Scott A. Horstemeyer (34,183)
 George M. Thomas (22,260)
 Stephen R. Risley (35,659)
 Daniel J. Santos (40,158)
 David P. Kelley (17,420)
 Michael J. D' Aurelio (40,977)
 Jon E. Holland (41,077)

James W. Kayden (31,532)
 Jeffrey R. Kuester (34,367)
 Daniel R. McClure (38,962)
 Robert E. Stachler II (36,934)
 Michael J. Tempel (41,344)
 David R. Risley (39,345)

SEND CORRESPONDENCE TO:

Daniel R. McClure
 THOMAS, KAYDEN, HORSTEMEYER
 & RISLEY, L.L.P.
 Suite 1500
 100 Galleria Parkway N.W.
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DIRECT TELEPHONE CALLS TO:
 (Name and telephone number)

Daniel R. McClure
 (770) 933-9500

EXPRESS MAIL NO. 2106835327545

COMBINED DECLARATION AND POWER OF ATTORNEY CONTINUED

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Signature: Kun-Lin Wu July 27, 1998

Sole or First Joint Inventor: Kun-Lin Wu

Citizenship: Taiwan, R.O.C.

Residence and Post Office Address: No. 98-18, Sec. II, Tai Chung Kang Rd., Taichung City, Taiwan, R.O.C.

Signature: Meng-Jin Tsai July 27, 1998

Second Joint Inventor (if any): Meng-Jin Tsai

Citizenship: Taiwan, R.O.C.

Residence and Post Office Address: No. 1234, Ho-Chang Rd., Nan-Tzu, Kaohsiung City, Taiwan, R.O.C.

Signature:

Third Joint Inventor (if any):

Citizenship:

Residence and Post Office Address:

Signature:

Fourth Joint Inventor (if any):

Citizenship:

Residence and Post Office Address:

Signature:

Fifth Joint Inventor (if any):

Citizenship:

Residence and Post Office Address:

REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Application Number	09/563,030
	Filing Date	May 1, 2000
	First Named Inventor	Kun-Lin Wu and Meng-Jin Tsai
	Group Art Unit	2825
	Examiner Name	
	Attorney Docket No.	252103-2041

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☐ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☒ The undersigned hereby appoints the following attorneys to prosecute and transact all business in the U.S. Patent & Trademark Office in connection with the above-referenced application or patent, with all correspondence directed to said attorney at the address provided:

☒ Customer Number

25235

Type Customer Number here

☐

Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐

Applicant.

☒

Assignee of record of the entire interest.

A Certificate under 37 CFR 3.73(b) is enclosed.

SIGNATURE of Assignee of Record

Typed or Printed Name

Larry Lin, United Microelectronics Corp.

Signature

Larry Lin

Date

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Unit d Microelectronics Corp.

Application No./Patent No.: 09/563,030 Filed/Issue Date: May 1, 2000

Entitled: CHEMICAL-MECHANICAL POLISHING METHOD

states that it is:

1. ☒ the assignee of the entire right, title and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application;/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 9384, Frame 0808, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date

Signature

Larry Lin

Typed or printed name

Title

DIRECTOR OF IPR

RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kun-Lin Wu and Meng-Jin Tsai

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: United Microelectronics Corp.

Street Address: No. 3, Li-Hsin Rd. II.
Science-Based Industrial Park
Hsinchu City, Taiwan, R.O.C.Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: July 27, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: July 27, 1998

A. Patent application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Daniel R. McClure
 Thomas, Kayden, Horstemeyer & Risley, L.L.P.
 100 Galleria Parkway, Suite 1500
 Atlanta, Georgia 30339

6. Total number of applications/patents involved: [1]

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account Number:

20-0778

(Attach duplicate copy of this page if paying by Deposit Account)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Daniel R. McClure
 Type Name of Person Signing - Daniel R. McClure

Docket #: 252103-2040

August 16, 1998
 Date

Total number of pages including cover sheet, attachments, and document: [3]

Mail documents to be recorded with required cover sheet information to:
 Assistant Commissioner for Patents, Box Assignments
 Washington, D.C. 20231

ASSIGNMENT

WHEREAS, (1) Kun-Lin Wu

(2) Meng-Jin Tsai

hereinafter referred to as ASSIGNOR, has/have invented certain new and useful improvements as described and set forth in the below identified application for United States Letters Patent:

Title: CHEMICAL-MECHANICAL POLISHING METHOD

☐ Filed:

Serial No.

☒ Executed concurrently with the execution of this instrument

WHEREAS, United Microelectronics Corp., of No. 3, Li-Hsin Rd. II, Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C.

hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has/have sold, assigned and transferred, and by these presents does/do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all his/her/their rights, title and interest in and to the said invention and application and all future improvements thereon, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees/agree that he/she/they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee Lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

ASSIGNMENT CONTINUED

IN TESTIMONY WHEREOF, Assignor has/have signed his/her/their name(s) on the date(s) indicated.

Kun Lin Wu July 27, 1995
Signature

Yang Lin Tsai July 27, 1998
Signature

Signature

Signature

2025 08 06 10:00:00

THOMAS, KAYDEN
HORSTEMEYER & RISLEY, LLP
NOV 17 1998
UNITED STATES OF AMERICA

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

NOVEMBER 10, 1998

PTAS
THOMAS KAYDEN HORSTEMEYER & RISLEY, LLP
DANIEL R. MCCLURE
100 GALLERIA PARKWAY
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ATLANTA, GA 30339



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RECEIVED

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/11/1998

REEL/FRAME: 9384/0808
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
WU, KUN-LIN

DOC DATE: 07/27/1998

ASSIGNOR:
TSAI, MENG-JIN

DOC DATE: 07/27/1998

ASSIGNEE:
UNITED MICROELECTRONICS CORP.
NO. 3, LI-HSIN RD. II
SCIENCE-BASED INDUSTRIAL PARK
HSINCHU-CITY, TAIWAN R.O.C.

SERIAL NUMBER: 09132876
PATENT NUMBER:

FILING DATE: 08/11/1998
ISSUE DATE: